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## RESEARCH ARTICLE

# Efficient Flatness Based Energy Management Strategy for Hybrid Supercapacitor/Lithium-ion Battery Power System

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**ABSTRACT** This article offers a flatness theory-based energy management strategy (FEMS) for a hybrid power system consisting of a supercapacitor (SC) and lithium-ion battery. The proposed FEMS intends to allocate the power reference for the DC/DC converters of both the battery and SC while attaining higher efficiency and stable DC bus voltage. First, the entire system model is analyzed theoretically under the differential flatness approach to reduce the model order as a flat system. Second, the proposed FEMS is validated under different load conditions using MATLAB/Simulink. Thus, this FEMS provides high-quality energy to the load and reduces the fluctuations in the bus voltage. Moreover, the performance of the FEMS is compared with the load following (LF) strategy. The obtained results show that the proposed FEMS meet the real load power under fast variations with good power quality compared to the classical LF strategy, where the maximum overshoot of the bus voltage is 5%.

**INDEX TERMS** Hybrid power system, supercapacitor, Li-ion battery, flatness control theory, energy management system.

## I. INTRODUCTION

Recently, the hybrid power system (HPS) has become an attractive solution to expanding threats of the global energy crisis and environmental pollution. The HPS is composed of several power sources with control of their operation using power electronics converters. Also, more advantages can be found using HPS, especially in electric vehicles (EVs) applications [1], [2], [3]. Moreover, the research gaps in this interesting field for energy management, control theory, and

hybridization are being studied and developed to guarantee lower greenhouse gas emissions [4], [5], [6], [7]. In recent years, the HPS consisted of a supercapacitor (SC)/ lithium-ion battery hybrid energy storage system to realize a simple, reliable, and robust approach to mitigate battery stress-related impacts on performance, hence making it more desirable for direct-current (DC) grids or off-grid systems. The high energy density of the lithium-ion battery means issues can arise from the high battery current and high charge/discharge rate that may impact the system's stability. Therefore, this problem can be avoided using the SC, which supplies the required power to regulate the DC load voltage during transient operation

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or sudden load changes [8]. To improve their performance and increase the system efficiency, hybridizing these sources is considered to protect them from temperature shocks or a lack of state of charge (SOC) control of the battery [9], [10], [11], [12]. Another advantage of using the SC is to alleviate the high-frequency system disturbances and fluctuation of current flowing in/out of the battery that affects the longevity of the battery. As a result, addressing these problems is by using a suitable energy management strategy (EMS) [13], [14], [15]. Also, the rate of fuel consumption and system efficiency are the main factors indicating the performance of the power system.

The well-known economic and environmental advantages, as well as advancements in technological innovations and achievements in semiconductor engineering have led to an upward trend in the adoption of EVs instead of the traditional vehicles that depend on internal combustion engines [15]. This has become more necessary due to the growing negative effects of greenhouse gases on the environment as a result of the use of fossil fuels [16], [17]. An ambitious plan to limit the rate of global warming increase to below 2 °C has been agreed upon in December 2015 by some 195 countries around the world at the Paris climate conference (COP21). This cannot be achieved in the said period if the transport sector is not fully involved [18]. As a result, hybrid electric vehicles (HEVs) have, in recent times, gained much attention and interest among manufacturers, researchers, and other stakeholders ever since it started entering the automotive industry on a large scale. The HEV technology has been in the automotive market since the 18th century. However, it started achieving a remarkable breakthrough in the industry in the 20th century [19]. The hydrogen fuel cell vehicle (HFCV) has a powertrain that is more complicated than that of the traditional vehicles, in that it generally contains at least two sources of energy, i.e., energy storage system (ESS) and fuel cell (FC), which for instance, involves an SC or a metal-ion lithium battery. It is important to optimize both the EMS and parameter sizing of the components [20]. The two key advantages due to fuel cells relative to internal combustion engines are: zero gas emissions and higher energy efficiency. However, it has a limitation concerning its slow transient response, which has to be considered to avoid premature ageing [21], [22].

In the literature, several studies are presented to propose some EMS strategies for an HPS. Fathabadi et al. [15] proposed a novel FC/SC/battery hybrid system for use in fuel cell hybrid electric vehicles (FCHEVs). The power source consists of a 90-kW proton exchange membrane FC stack, a 19.2 kW lithium-ion battery, and an SC bank of 600 F, which served as a supplementary energy storage device. Ettahir et al. [23] attempted to address EMS for a fuel cell HEVs. Their work focused on the optimal power splitting between the battery pack and the FC, considering the FC's operating conditions.

Xu et al. [24] proposed a multi-objective optimization problem for the powertrain parameters for a pre-defined

driving cycle in relation to system durability and fuel economy. Results of their study indicate that the maximal output power of 40 kW for the FC and battery capacity of 150 Ah are optimal for the system durability and fuel economy of a FC city bus in a "Chinese typical city bus drive cycle". Sedaghati and Shakarami [25] also studied a new power and control management strategy for a micro-grid connected to the grid, consisting of a three-phase load and hybrid renewable energy system (HRES). Their hybrid system comprises a PV system SC, a battery storage system (BSS), and a solid oxide fuel cell. Their simulated results showed the effectiveness of an adaptive fractional fuzzy sliding mode control strategy under different loading conditions and various faults. In other studies, Ferahtia et al. [26] worked on a DC microgrid (MG) using an ameliorated power management approach. The study was conducted to provide a management strategy that can guarantee an optimized bus voltage using set power-sharing among the sources. The strategy they proposed decreased the voltage ripple in the DC bus.

Thounthong et al. [27] proposed an EMS for a hybrid system that is made up of a polymer electrolyte membrane fuel cell (PEMFC) and PV array. This included a lithium-ion battery module and SC storage devices. According to their study, they obtained excellent performance using the proposed EMS during load cycles. Carignano et al. [28] considered the FC/SC platform, which constitutes a hard constrained powertrain that delivers an adverse scenario for EMS relative to drivability and fuel economy. They presented a new EMS-based approach for the assessment of short-term future energy demands. Their experimental and simulation results show an enhancement in the consumption of hydrogen and power compliance. Liu and Liu [29] employed Pontryagin's minimum principle to construct a power source sizing model to optimize the battery lifecycle while minimizing fuel consumption, energy loss, and cost of the powertrain. Rodatz et al. [30] presented a full explanation of equivalent consumption minimization (ECM) and their implementation, plus an experimental validation for SC/ FCHV-based power system.

The authors in [31] proposed a new EMS for the SC- battery HPS using optimal adaptive gain LQR- based on the salp swarm algorithm (SSA). This method aims to compute the required gains to obtain the reference power for both SC and battery and then increases the power devices' life expectancies. Another study in [32] was conducted in order to distribute the power demand between the SC and battery energy sources using different strategies, including the proportional-integral (PI) strategy, the external energy maximization strategy (EEMS), and the ECM. As a result, the DC bus voltage is stabilized by the SC which has fast dynamics, while the load power is handled by the battery. The authors in [33] proposed a Total Cost of Ownership (TCO) model that takes into account energy consumption, equivalent energy consumption, and the degradation of various power sources. In that study, the authors implemented the double Q-learning reinforcement learning (RL) algorithm with state

constraints and variable action space in order to identify the most efficient EMS. The authors in [34] proposed a new EMS based on model predictive control (MPC) that combines self-trending prediction and the subset-searching method as a method of applying the best HPS to increase the efficiency of FC and lower the cost of operating FC. The suggested EMS minimizes the inaccuracy of speed forecasting in comparison to the conventional MPC and simplifies the process of calculating the optimum control trajectory, both of which boost the possibility of practical engineering fields. The methodology of RL is becoming an increasingly popular option for the regulation of energy consumption in HPS. Furthermore, the previous work-based EMS for HPS does not place an emphasis on the training environment and the setup of the reward function, and it does not differentiate between the many stages of the development of RL agents. The purpose of the study in [35] is to address this method by first introducing the notion of an RL-based EMS, then providing literature evaluations from both an RL environment and an agent, and then offering some recommendations for future research.

In this study, an EMS is proposed to enhance the efficiency of the HPS powered by battery and SC sources. This strategy is based on the flatness control theory. Flatness control provides excellent performance for nonlinear systems due to the reduced-order model. The performance of this strategy is mainly based on the parameters of the trajectory planning, which are easier to define. The main contributions can be listed in the following:

- i. An efficient EMS ensures a good power quality delivered to the load side and extended HESS device lifetime
- ii. Provides the power reference for both the SC and battery to achieve optimal performance and stable voltage

This paper is organized as follows: Section 2 introduces the hybrid power system configuration. Section 3 highlights the proposed efficient EMS strategy. Section 4 discusses the simulation results and discussion. Section 5 presents the conclusion.

## II. HYBRID POWER SYSTEM CONFIGURATION

When the battery is operated with high-frequency loads, the internal resistance may increase, causing the battery to degrade. On the one hand, a high current can be obtained from the battery. On the other hand, the SC has many charging/discharging cycles and a high-power density. Therefore, using the SC/battery as a HPS can improve the performance and meet the load demand [26], [25]. Several topologies for the HPS are presented, such as passive, semi-active, and full active topologies [26], [31], [32].

In this paper, a fully active SC/battery topology is used as presented in Fig. 1. Both the SC and lithium-ion battery are connected to the DC bus by a bidirectional DC/DC converter to supply the load. In this work, the presented FEMS is based on the flatness control theory that computes the references of the SC and battery to satisfy the DC load demand and maintain the bus voltage with standard limits.

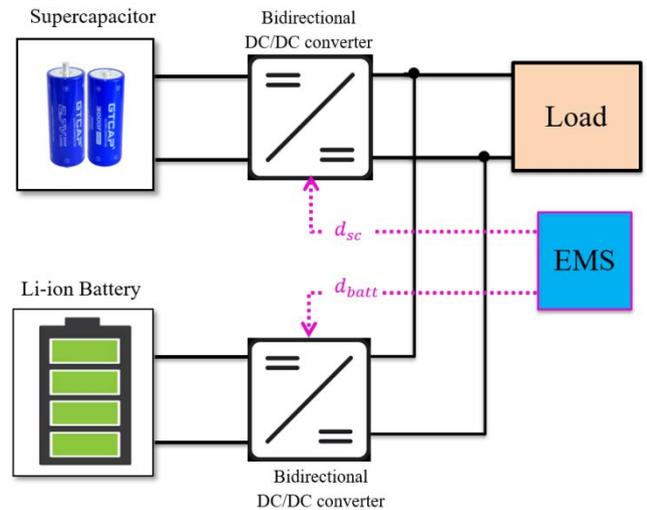


FIGURE 1. Overview of the studied HPS.

### A. SUPERCAPACITOR MODELING

A supercapacitor is a high-capacity capacitor used in applications requiring many rapid charge/discharge cycles rather than long-term compact energy storage. It is mainly used for regenerative braking, short-term energy storage, and burst-mode power delivery [27], [30]. In 2016, MathWorks provided a new version of Simulink (2016) that includes a SC model that allows one to quickly show the SC model with its parameters [31]. Therefore, in this paper, the SC model is based on the MATLAB/Simulink, which is reported in Fig. 2. The parameters of this figure can be defined as follows:

- $V_{sc}$  is the terminal voltage of the SC (V).
- $i_{sc}$  is instantaneous current of the SC (A)
- $V_c$  is the controlled voltage-source (V)
- $r_{sc}$  is the internal resistance of SC ( $\Omega$ )
- $Q_T$  is the supercapacitor electric charge (C).
- $A_i$  is Interfacial area between electrodes and electrolyte ( $m^2$ )
- $C$  is molar concentration ( $mol/m^3$ )
- $F$  is the Faraday constant
- $N_s$  represent the number of series supercapacitors
- $N_e$  represent the number of layers of electrodes
- $N_p$  is the number of parallel supercapacitors
- $d$  is the molecular radius
- $R$  is the ideal gas constant
- $T$  is the operating temperature (K)
- $\epsilon$  is the permittivity of material
- $\epsilon_0$  is the permittivity of free space

### B. LITHIUM BATTERY MODELING

Lithium batteries are widely used in portable consumer electronic devices. It is referred to as a lithium-metal battery with metallic lithium as an anode. Moreover, it stands apart from other batteries in its high charge density and cost per unit [27], [28], [29], [30], [31], [32], [33], [34], [35], [36]. The lithium model used in this research is based on MATLAB/Simulink

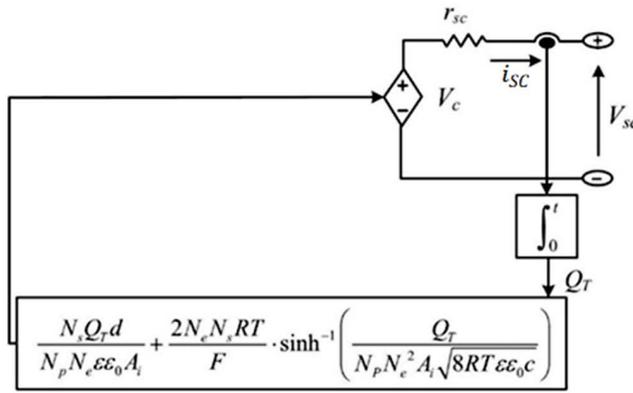


FIGURE 2. The SC equivalent circuit module.

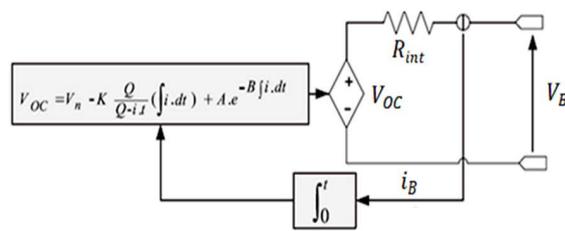


FIGURE 3. The battery electrical circuit module.

model, as presented in Fig. 3. The parameters of this figure was listed as below:

- $R_{int}$  is the internal resistance of the battery
- $V_B$  is the terminal voltage of the battery (V).
- $i_B$  is instantaneous current of the battery (A)
- $V_{OC}$  is the open-circuit voltage of the battery (V).
- $V_n$  is the nominal voltage of battery (V).
- $Q$  is the battery’s capacity (Ah).
- $A$  is the exponential zone amplitude (V).
- $B$  the exponential zonetime constant (Ah<sup>-1</sup>)
- $i_t$  is the current battery charge (Ah).
- $K$  is the polarization constant.

C. LOAD MODELING

The HPS must fulfill the load, whatever its application or type. Most loads are dynamic loads that change with time, such as speed motors in EVs application or load appliances in stationary MG. In this paper, a dynamic load profile based on a controlled current source is used. The required power of the DC load that is connected through the SC and battery has the following formula:

$$P_{load} = i_{load} \cdot v_{bus} \tag{1}$$

where  $i_{load}$  is the load current and  $v_{bus}$  is the DC bus voltage.

D. DC/DC CONVERTER MODELING

A bidirectional DC/DC converter is used to regulate the charging and discharging procedures of both the SC battery and the Li-ion battery. The use of this converter might result in an improvement to the switching operation, whereby the input current ripple is reduced due to the utilization of

smaller inductor value ranges [37]. As a consequence of this, the conversion stage’s efficiency is increased, as are the dynamic capabilities of the storage component (SC) and the battery’s safety. By managing the output currents and comparing them with the reference values ( $i_{B,ref}$ , and  $i_{SC,ref}$ ), the flatness EMS is able to maintain control over the SC as well as the battery. This is accomplished by creating the appropriate duty cycles for the converters. In addition, the bidirectional converter may be dissected based on its two modes of operation—the buck mode and the boost mode—as described in reference [37]. If the converter operates in buck-mode, the input voltage from the battery or the SC will be applied to the inductor. The inductance in this case can be computed as follows:

$$L = \frac{V_{in} (1 - D)}{\Delta i_L f_{sw}} \tag{2}$$

where  $L$  is the inductance of the converter,  $V_{in}$  is the input voltage of the converter,  $D$  is the duty cycle,  $f_{sw}$  is the switching frequency, and  $\Delta i_L$  is the ripple in the inductor current. In this scenario, the duty ratio will shift between  $D_{min}$  and  $D_{max}$  in accordance with the needed value for the DC bus voltage. By controlling this converter, the DC bus voltage can be kept at a constant value that serves as a reference. In addition to this, if the converter was operating in boost mode, the relationship between the voltage of the DC link and the voltage of the input would look like this:

$$v_{bus} = \frac{V_{in}}{(1 - D)} \tag{3}$$

In this case, the input inductor can be calculated from the following equation [37],

$$L = \frac{V_{in} D}{\Delta i_L f_{sw}} \tag{4}$$

III. THE PROPOSED FEMS STRATEGY

A. FLATNESS CONTROL APPROACH

The control scheme may be more difficult under the system’s nonlinearity. For this reason, the differential flatness theory was applied to reduce the model’s order. As a result, the alternative model enables the dynamics of the trajectories to be characterized based on Fliess et al. [36]. Applying the flatness control theory, the reduced-order model can be formulated as follows [26], [36].

$$y = \phi \left( x, u, \dot{u}, \dots, u^{(\alpha)} \right) \tag{5}$$

$$x = \varphi \left( y, \dot{y}, \dots, y^{(\beta)} \right) \tag{6}$$

$$u = \psi \left( y, \dot{y}, \dots, y^{(\beta+1)} \right) \tag{7}$$

where  $y$  is the output flat model,  $x$  is the state variable, and  $u$  is the control variable. Also,  $\phi$ ,  $\varphi$ , and  $\psi$  are the functions of the smooth mapping, while  $y^{(\beta+1)}$  is the notation for the derivative of the output  $(\beta + 1)^{th}$ . Also,  $\alpha$  is a finite number of the derivative, while  $rank(\phi) = m$ ,  $rank(\varphi) = n$ , and  $rank(\psi) = m$  [26].

### B. FLATNESS CONTROL BASED HPS

The flatness control is applied to the SC-battery power system to reduce the order of the model and present the proposed FEMS. As discussed above, the proposed HPS will be defined in Eq.(8).

$$y = \begin{bmatrix} y_1 \\ y_2 \end{bmatrix}, \quad u = \begin{bmatrix} u_1 \\ u_2 \end{bmatrix}, \quad x = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \quad (8)$$

By applying this theory to the proposed system, the following equation is defined as

$$y = \begin{bmatrix} E_{bus} \\ E_{SC} \end{bmatrix}, \quad u = \begin{bmatrix} P_{SC\_ref} \\ P_{B\_ref} \end{bmatrix}, \quad x = \begin{bmatrix} v_{bus} \\ v_{SC} \end{bmatrix} \quad (9)$$

The objective of this paper is to control and manage the power-sharing between the SC, battery, and DC load while maintaining the DC bus voltage at the desired value of 400V. As a result, the DC bus energy is controlled by discharging and charging the SC device. Moreover, the capacitive energy for both the DC bus and the SC can be written as [26] and [27]:

$$E_{bus} = \frac{1}{2} C_{bus} v_{bus}^2 \quad (10)$$

$$E_{SC} = \frac{1}{2} C_{SC} v_{SC}^2 \quad (11)$$

where  $C_{bus}$  is the DC bus capacitance,  $C_{SC}$  the SC capacitance,  $v_{bus}$  is the DC bus voltage, and  $v_{SC}$  is the instantaneous voltage of the SC. Based on the proposed system configuration, the bus energy  $E_{bus}$  can be expressed in function of the power-sharing through the DC bus as follows [26]:

$$\dot{E}_{bus} = P_{SCo} + P_{Bo} - P_{load} \quad (12)$$

where  $P_{SCo}$  and  $P_{Bo}$  are the transferred power from the SC and battery to the load, respectively, which are can be calculated from Eqs. (13 and 14) as follows:

$$P_{SCo} = P_{SC} - \delta_{SC} \left( \frac{P_{SC}}{v_{SC}} \right)^2 \quad (13)$$

$$P_{Bo} = P_B - \delta_B \left( \frac{P_B}{v_B} \right)^2 \quad (14)$$

where  $\delta_{SC}$  and  $\delta_B$  are the SC converter losses and battery converter losses, respectively.

Furthermore, both the SC and battery follow their power reference values which are written as

$$P_{B\_ref} = P_B = v_B i_B \quad (15)$$

$$P_{SC\_ref} = P_{SC} = v_{SC} i_{SC} \quad (16)$$

where and  $v_B$  is the instantaneous voltage of the battery. However, the total energy stored in the SC capacitor and DC bus capacitor can be written as [26]

$$E_T = E_{SC} + E_{bus} \quad (17)$$

### C. SUPERCAPACITOR AND BATTERY CONTROL LOOP

The SC control scheme depends on the regulation of the total electromagnetic energy. The SC has slower dynamic and enormous capacitive energy over the capacitance of the DC bus [31]. In this work, the SC power reference regulates the voltage of the DC bus and handles the power at a high frequency. The battery supplies power to the load and maintains the SC voltage at the reference value using trajectory planning for the power demand of the SC. The proposed detailed EMS system for both the battery and SC is shown in Fig. 4. However, the state variables of Eqs.(18 and 19) can be expressed as,

$$x_1 = \sqrt{2y_1}/C_{bus} = \phi_1(y_1) \quad (18)$$

$$x_2 = \sqrt{2(y_2 - y_1)}/C_{SC} = \phi_2(y_1, y_1) \quad (19)$$

Furthermore, the first input control represents the SC power reference which can be written from Eqs. (10-19) as,

$$\begin{aligned} u_1 &= P_{SC,ref} \\ &= 2P_{SC,max} \left[ 1 - \sqrt{1 - \frac{\dot{y}_1 + \sqrt{\frac{2y_1}{C_{bus}}} \cdot i_{load} - P_{Bo}}{P_{SC,max}}}} \right] \\ &= \psi_1(\dot{y}_1, y_1) \end{aligned} \quad (20)$$

where is the limited maximum power of the SC,  $P_{SC,max} = \frac{v_{SC}^2}{4r_{SC}}$ .

Moreover, the most important variable in the proposed system is the flat output  $y_1 = E_{bus}$ . Therefore, a proportional-integral (PI) controller is applied to regulate the dc bus voltage. The SC control loop is considered faster. As a result, the overall power of the HPS in Eq.(21) can be written as

$$\dot{E}_{bus} = P_{SCo} \quad (21)$$

From this equation, the output transfer function is a pure integrator. So, in this work, a PI controller is used based on supposing  $y_{1,ref} = E_{bus,ref}$ , and the following control equation of DC bus voltage low is expressed as [31],

$$\dot{y}_1 = \frac{1}{s} \left( K_p + \frac{K_i}{s} \right) (y_1 - y_{1,ref}) \quad (22)$$

where  $K_p = 2 \cdot \zeta \cdot \omega_n$ ,  $K_i = \omega_n^2$ , and  $\omega_n$  is the natural frequency and  $\zeta$  is the damping factor.

Furthermore, the control law of the battery used in this paper can be expressed as,

$$\dot{y}_2 - \dot{y}_{2,ref} + K_B (y_2 - y_{2,ref}) = 0 \quad (23)$$

where  $K_B$  is the control's gain of the SC controller. The demand power of the SC ( $P_{SC,demand}$ ) is used to generate the trajectory planning based on the following equation,

$$P_{SC,demand} = \left( K_p + \frac{K_i}{s} \right) (y_2 - y_{2,ref}) \quad (24)$$

Moreover, the second input control represents the battery power reference which can be derivate from Eqs.(1,12,19 and

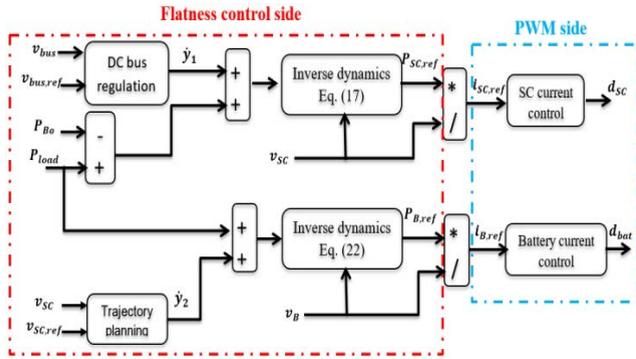


FIGURE 4. The proposed control loops.

TABLE 1. The proposed system parameters.

Parameter	Value
Reference voltage of the SC, $V_{SC,ref}$	200 V
Reference voltage of the battery, $V_b$	200 V
Reference voltage of the DC bus, $V_{bus,ref}$	400 V
$C_{SC}$	120 F
$C_{bus}$	2200 $\mu$ F
$Q$	1500 Ah
$L$	2 mH
$\delta_B$	0.1
$\delta_{SC}$	0.1
$k_p$	67
$k_i$	2500
$f_{sw}$	25kHz
$\omega_n$	50
$\zeta$	0.67

23) as (25), shown at the bottom of the page, where is the limited maximum power of the battery,  $P_{B,max} = \frac{v_B^2}{4r_B}$ . Finally, based on the above design, the proposed reduced-order model can be defined as a flat system.

IV. SIMULATION RESULTS AND DISCUSSION

In this work, the proposed EMS is verified using MATLAB/Simulink. The parameters of the used system are listed in Table 1. To evaluate and confirm the performance of the proposed energy management strategy, the load power profile with rapid dynamics that is illustrated in Fig. 5 will be used. The simulation time of this profile is 25 seconds. This time is sufficient to evaluate the performance of the HPS with the fast variations of the load power.

The power simulation results are shown in Fig. 6. As observed, the battery takes a large portion of the demand's load. The rapid dynamics of the load can be observed from

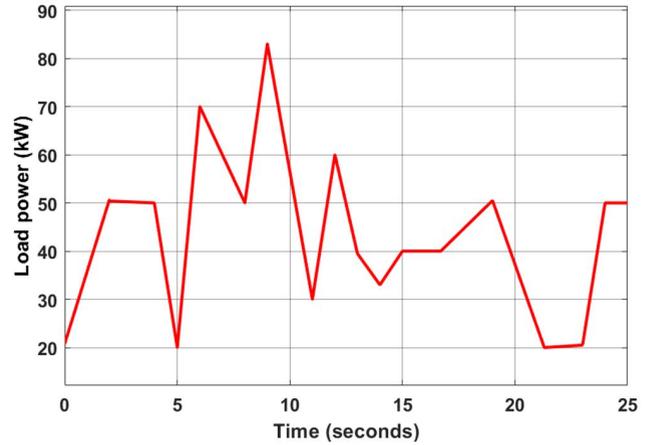


FIGURE 5. The proposed load power profile.

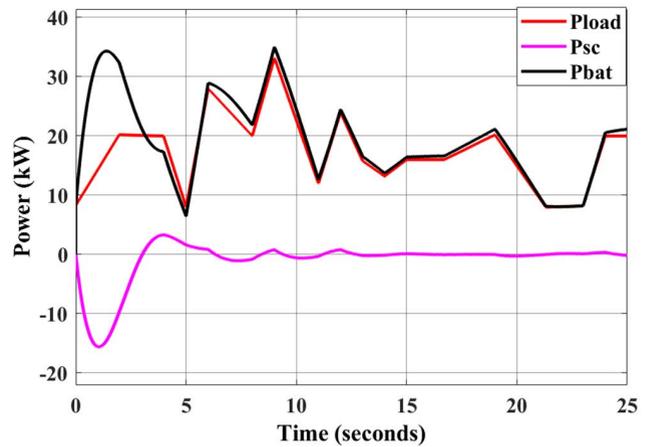


FIGURE 6. The power simulation results.

5sec to 15sec. During these times, the maximum power demand of 82kW is seen at time 9sec. This sudden increase in the demand discharges the SC at a rated power of 2kW when the battery is unable to accomplish the increase in the demand load alone. As a result, the load demand is supplied by the battery while the main function of the SC is to handle the transitory load, and this makes the average power zero.

Fig. 8 reports the SC voltage with its reference value. As observed, the SC voltage is controlled by the battery, which maintains a measured voltage at the reference value according to the initial voltage value. Thus, the battery charges the SC based on the trajectory planning to hold its voltage at the reference value. This explains why we propose the use of FEMS.

In addition, the proposed FEMS maintains the DC bus voltage at its reference value (400V) during the fast change

$$u_2 = P_{B,ref} = 2P_{B,max} \left[ 1 - \sqrt{1 - \sqrt{\frac{\dot{y}_{2,ref} + K_B (y_{2,ref} - y_2) + \sqrt{\frac{2y_1}{C_{bus}}} \cdot i_{load}}{P_{B,max}}}} \right] = \psi_2 (\dot{y}_2, y_1) \tag{25}$$

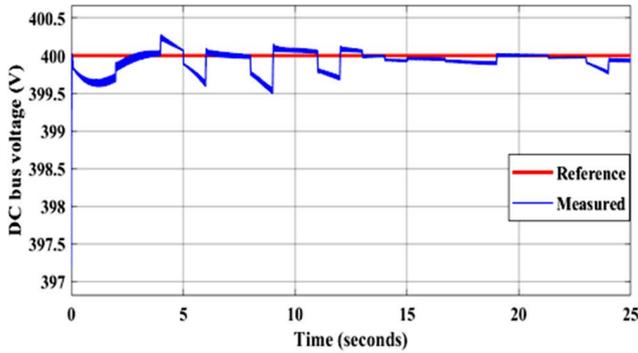


FIGURE 7. The DC bus voltage results.

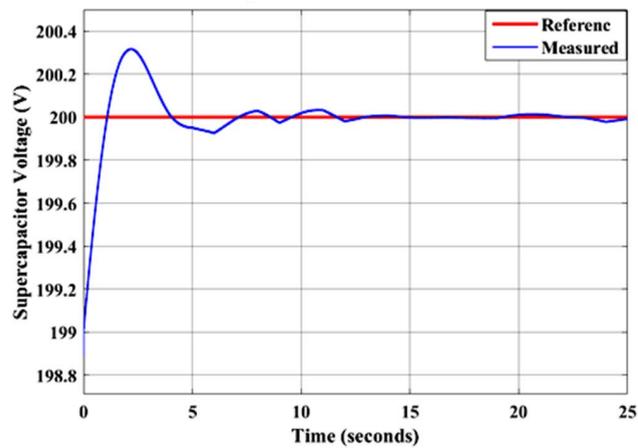


FIGURE 8. The supercapacitor voltage variations.

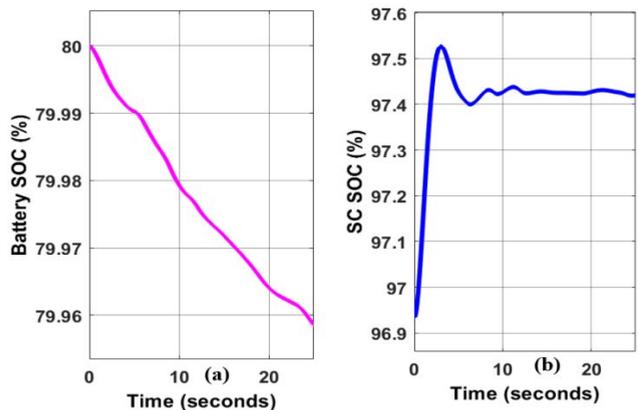


FIGURE 9. The SOC simulation results (a) the SOC of the battery (b) the SOC of the SC.

in the demand load. Based on the bus voltage control law of the proposed FEMS, the bus voltage is stabilized where the ripple in the voltage is very small, at about  $\Delta V = 1V$  as shown in Fig. 7. In addition, the SC voltage with its reference value is illustrated in Fig. 8. Also, the SC is charged by the battery with the initial voltage value of 199 V. As a result, the SC will charge itself by negative power amount. Fig. 9 shows the SOC for both the battery and supercapacitor.

To validate the proposed FEMS, the comparison with the classical LF strategy is made under different load conditions. Fig. 10 shows the DC bus voltage at the proposed FEMS and classical LF strategies. As can be seen, the proposed

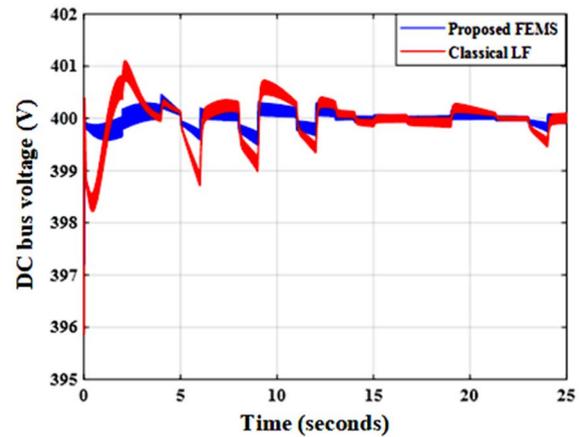


FIGURE 10. The DC bus voltage results for the proposed and LF strategies.

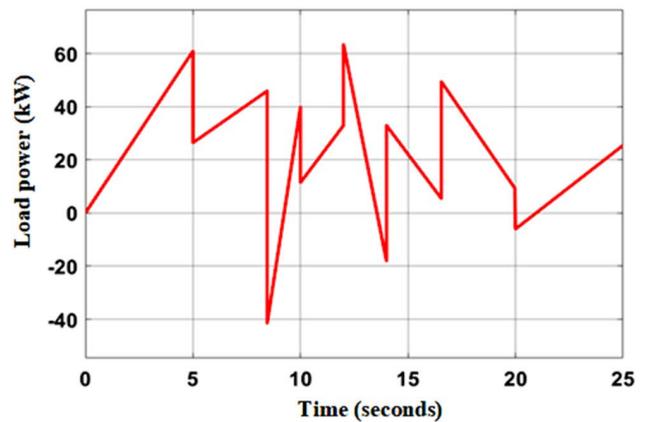


FIGURE 11. The second power load profile.

FEMS provides high-quality power under stable operation conditions with minimum overshoot value in the bus voltage. Moreover, the voltage ripple is much lower using the proposed FEMS. Therefore, the performance of the FEMS strategy has improved significantly.

To validate the proposed strategy under both traction and braking cases, the second proposed load power profile is used, as illustrated in Fig. 11, where the power in the traction case is positive and in the braking case becomes negative.

Fig. 12 reports the power simulation results for the second load profile. As seen, the real load is mainly supplied by the battery's power. The supercapacitor provides the transient periods in the load demand, which handles the variations of the load. In the beginning, the battery power is higher than the load and the supercapacitor power is negative. In fact, the battery charges the supercapacitor to raise its SOC (its voltage) to the reference level, as illustrated in Fig. 13. The proposed FEMS has successfully stabilized the bus voltage with high quality, as depicted in Fig. 14. Thus, the proposed strategy successfully maintains the DC bus and supercapacitor voltages with their reference values.

In this load profile, the DC bus voltage is stabilized under fast variations of the load. It is clear that the obtained results confirm the proposed FEMS with high performance

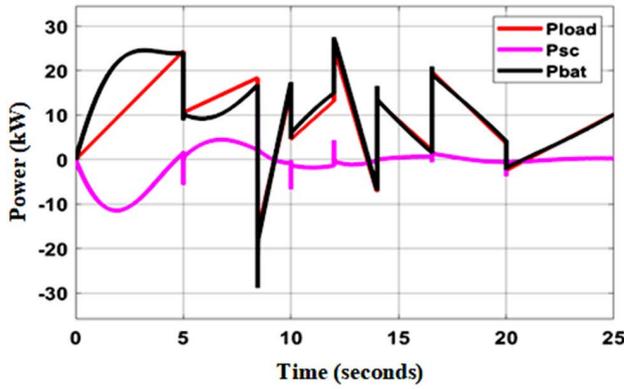


FIGURE 12. The power simulation results for the second load profile.

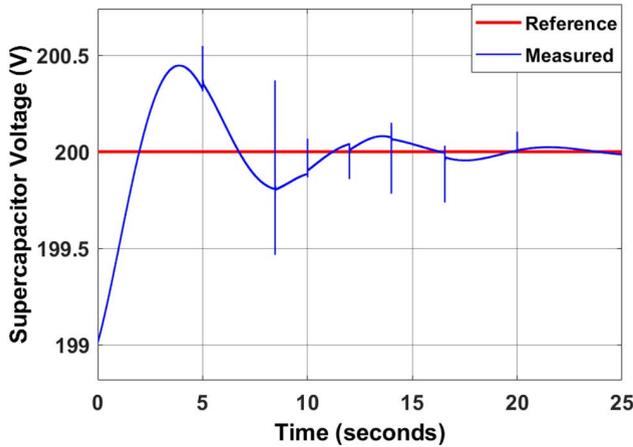


FIGURE 13. The supercapacitor voltage results.

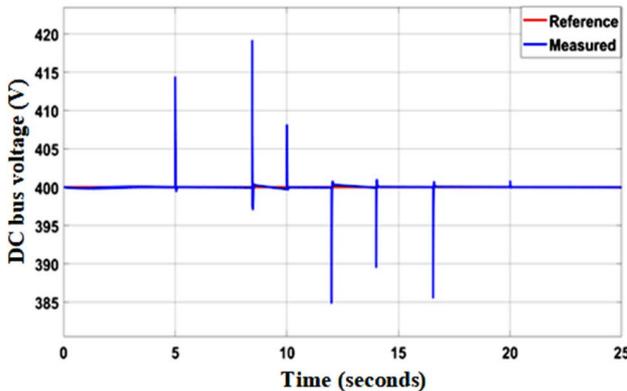


FIGURE 14. The DC bus voltage results.

compared with the classical LF control strategy, as shown in Figs. 15 and 16. Moreover, the presented strategy offers high-quality power under variations of the load.

From these obtained results, the proposed FEMS could handle the fast variations in the bus voltage much faster than that of the classic LF. Although the overshoots are slightly larger using the proposed FEMS, the maximum overshoot (6.25%) does not exceed 10% of the reference voltage. Therefore, the proposed FEMS demonstrates its superior high-quality power performance with stable operation. Table 2 demonstrated that the suggested FEMS is better than the

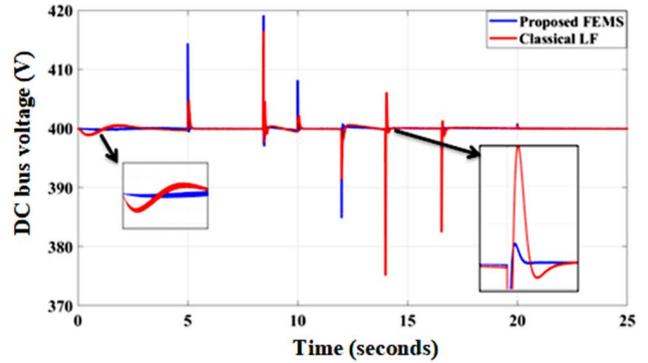


FIGURE 15. The DC bus voltage results for the proposed and LF strategies.

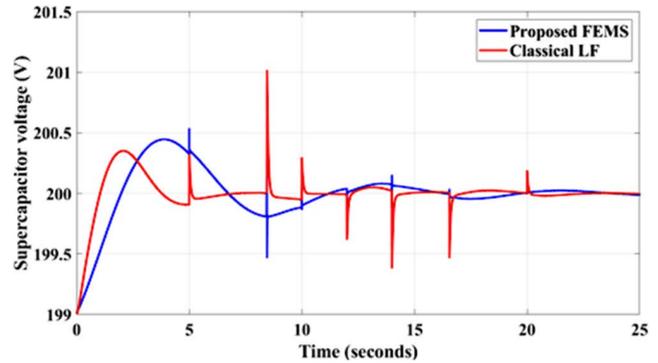


FIGURE 16. The supercapacitor voltage results for the proposed and LF strategies.

TABLE 2. Comparison between the proposed EMS and the conventional LF method.

Parameter	Conventional LF		Proposed FEMS	
	$V_{bus,Peak}$	$V_{SC,Peak}$	$V_{bus,Peak}$	$V_{SC,Peak}$
$\Delta P_{load}(kW)$ 0 → 60	405	200.4	413	200.5
46 → (41.4)	418	201	<u>419</u>	<u>199.5</u>
(41.4) → 40	403	200.4	408	199.8
63.5 → (-18)	393	199.6	385	200.03
(-18) → 33	<u>375</u>	<u>199.3</u>	402	200.1
49.5 → 5.9	384	200.2	398	200.004

traditional one. As can be observed, the peak voltages for the DC bus and the SC at various demand load variations are represented by  $V_{bus,Peak}$  and  $V_{SC,Peak}$ , respectively, where these values are retrieved from the Figs. 15 and 16. The voltage overshoot is produced with regard to the reference values, where the standard LF shows promising results in the event of moderate variation in demand but fails in the case of quick step demand load. In contrast, the suggested FEMS exhibits good overshoots in bus and SC voltages when the demand is quickly adjusted. The underlined values represent the highest value of  $V_{bus,Peak}$  and  $V_{SC,Peak}$  for each strategy.

### V. CONCLUSION

In this research, a FEMS for a HPS electrified by a supercapacitor battery is presented. The main objective is to provide stable DC bus voltage for the HPS that is composed of a

battery/SC hybrid energy storage system. This paper focuses mainly on stabilizing the DC bus voltage considering the energetic characteristics of the power sources, such as their power dynamics. The battery supplies power to the fundamental load, and the SC regulates the bus power during the transition periods. The EMS is based on a nonlinear differential flatness approach. The flatness provides the system with higher stability and robustness against extreme operating conditions such as fast variations in the load power. The proposed strategy has been evaluated under various conditions. The obtained results confirm its high performance compared with LF control strategy where the voltage stabilization is significantly faster with maximum overshoot near to 6.25%, which indicates the high quality of the provided power. The proposed EMS provides high-quality power with stable operating conditions, and the SC operates with its reference voltage, therefore guaranteeing the enhanced performance of the proposed strategy.

### LIST OF NOMENCLATURES

BSS	Battery energy storage
DC	Direct-current
ECM	equivalent consumption minimization
EEMS	external energy maximization strategy
EMS	Energy management strategy
ESS	Energy storage system
EVs	electric vehicles
FC	Fuel cell
FCHEVs	Fuel cell hybrid electric vehicles
FEMS	Flatness energy management strategy
HEVs	hybrid electric vehicles
HFCV	Hydrogen fuel cell vehicle
HPS	Hybrid power system
HRES	Hybrid renewable energy system
LF	Load following
MG	Microgrid
MPC	Model predictive control
PEMFC	polymer electrolyte membrane fuel cell
PI	Proportional-integral
RL	reinforcement learning
SC	Supercapacitor
SOC	State of charge
SSA	Salp swarm algorithm
TCO	Total Cost of Ownership

### LIST OF ABBREVIATIONS

$u_1$	The input control unit
$\Delta i_L$	input current ripple
$A_i$	interfacial area between electrodes and electrolyte ( $m^2$ )
$C_{SC}$	capacitance of the SC ( $\mu F$ )
$C_{bus}$	capacitance of the DC bus ( $\mu F$ )
$E_{SC}$	SC capacitive energy (W.sec)
$E_T$	Total capacitive energy (W.sec)
$E_{bus}$	DC bus capacitive energy (W.sec)
$K_B$	gain of the SC charge control

$K_i$	integral gain
$K_p$	proportional gain
$N_e$	number of layers of electrodes
$N_p$	number of parallel supercapacitors
$N_s$	number of series supercapacitors
$P_{B,max}$	maximum power of the battery (W)
$P_{B,ref}$	reference power of the battery (W)
$P_{Bo}$	battery actual power (W)
$P_{SC,demand}$	Demand power of the SC (W)
$P_{SC,max}$	The maximum power of the SC (W)
$P_{SC,ref}$	reference power of the SC (W)
$Q_T$	supercapacitor electric charge (C).
$R_{int}$	internal resistance of the battery ( $\Omega$ )
$V_{OC}$	open-circuit voltage of the battery (V)
$V_c$	controlled voltage-source (V)
$V_{in}$	input voltage (V)
$V_n$	nominal voltage of battery (V)
$V_{sc}$	terminal voltage of the SC (V)
$f_{sw}$	switching frequency (Hz)
$i_B$	instantaneous value of the Battery current (A)
$i_{SC}$	instantaneous value of the SC current (A)
$i_{load}$	Load current (A)
	internal resistance of SC ( $\Omega$ )
$v_B$	instantaneous value of the battery voltage (V)
	voltage reference of the SC (V)
$v_{SC,ref}$	instantaneous value of the SC voltage (V)
$v_{SC}$	instantaneous voltage DC bus (V)
$v_{bus}$	instantaneous voltage DC bus (V)
$x_1$	The state variable of the dc bus
$y^{(\beta+1)}$	Notation for the derivative of the output
$y_1$	The flat output
$\delta_B$	losses in the battery converter
$\delta_{SC}$	The losses in the SC converter
$\epsilon_o$	permittivity of free space
$\tau_1$	First order filter gain
$\omega_n$	The natural frequency (rad/sec)
$A$	exponential zone amplitude (V)
$B$	exponential zonetime constant ( $Ah^{-1}$ )
$C$	molar concentration ( $mol/m^3$ )
$F$	Faraday constant
$L$	input inductor (mH)
$Q$	normal battery's capacity (Ah)
$R$	ideal gas constant
$T$	operating temperature (K)
$d$	molecular radius
$u$	control variable
$x$	state variable
$y$	output flat model
$\alpha$	finite number of the derivative
$\epsilon$	permittivity of material
$\zeta$	damping factor
$\phi, \varphi, \text{ and } \psi$	functions of the smooth mapping
$i_t$	current battery charge (Ah)
$K$	polarization constant
$D$	duty cycle

## ANNEX

Flat variable generation, first flat variable ( $v_{bus}$ )

$$E_{bus} = \frac{1}{2} C_{bus} v_{bus}^2 \quad (26)$$

$$v_{bus}^2 = 2E_{bus}/C_{bus} \quad (27)$$

$$v_{bus} = \sqrt{2E_{bus}/C_{bus}} \quad (28)$$

$$x_1 = \sqrt{2y_1/C_{bus}} = \phi_1(y_1) \quad (29)$$

Second flat variable ( $v_{SC}$ )

$$E_{SC} = \frac{1}{2} C_{SC} v_{SC}^2 \quad (30)$$

$$v_{SC}^2 = 2E_{SC}/C_{SC} \quad (31)$$

$$v_{SC}^2 = 2(E_T - E_{bus})/C_{SC} \quad (32)$$

$$v_{SC} = \sqrt{2(E_T - E_{bus})/C_{SC}} \quad (33)$$

$$v_{SC} = \sqrt{2(y_2 - y_1)/C_{SC}} = \phi_2(y_1, y_2) \quad (34)$$

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